



PATENT MAINTENANCE  
DIVISION  
PATENT  
P56332

2003 NOV 18 AM 11:42

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

US PATENT & TRADEMARK  
OFFICE

11/24/03

In re Application of:

SHIGEHARU NAKAGAWA

Serial No.: 09/874,010

Examiner: BROWN, TIM

Filed: JUNE 6, 2001

Art Unit: 3625

For: APPARATUS AND TECHNIQUE FOR ON-DEMAND PRINTING, AND ON-DEMAND PRINTING SERVICES

**REQUEST FOR REFUND**

Mail Stop : 16  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

OFFICE OF FINANCE  
REFUND BRANCH  
2003 NOV 18 AM 11:43  
US PATENT & TRADEMARK  
OFFICE

Dear Sir :

Applicant respectfully requests for a refund of \$520.00 under fee code [1253] overcharged on 3 September 2003 for the reason as follows:

1. On 6 June 2001, Applicant filed the above-captioned patent application claiming Small Entity Status.
2. On 9 August 2001, an Official Filing Receipt indicting "SMALL ENTITY" was mailed to the Applicant.
3. On 13 March 2003, a non-final Office action (Paper No. 11) was mailed.
4. On 22 August 2003, Applicant faxed an Amendment in response to the Paper No. 11 to the Examiner and filed the same Amendment with a Petition for three-month

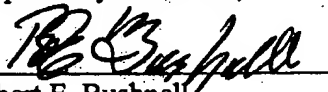
extension of time attached to a Check No.44671 in the amount of \$410.00 for three-month extension fee for Small Entity in the U.S. Patent & Trademark Office. However, Applicant's payment \$410.00 for the three-month extension of time was not a correct amount, and Applicant should have paid \$465.00 for three-month extension of time for Small Entity. Accordingly, the amount of \$55.00 was deficient.

5. On 3 September 2003, the amount of \$520.00 under fee code [1253] has been charged in the Applicant's undersigned Attorney's Deposit Account No. 02-4943. It is clear that this is overcharged because Applicant had claimed Small Entity Status when he filed the above-captioned patent application.

In view of the above, the Commissioner is respectfully requested to immediately **refund \$520.00 overcharged on 3 September 2003**, and charge the amount of \$55.00 for deficiency in Applicant's undersigned attorney's Deposit Account No. 02-4943.

**Please refer the attached documents for the above-referenced patent application.**

Respectfully submitted,



Robert E. Bushnell  
Attorney for Applicant  
Reg. No.: 27,774

1522 K Street, N.W. Suite 300  
Washington, D.C. 20005-1202  
(202) 408-9040

Enclosures: 1) Copy of Official Filing Receipt mailed on 8/9/2001  
2) Copy of a Check No. 44671 dated 8/22/03  
3) Copy of postcard receipt stamped 8/22/03  
3) Copy of Monthly Statement of Deposit Account dated 9/30/03

Folio: P56332  
Date: 11/14/03  
ID: REB/rfc



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

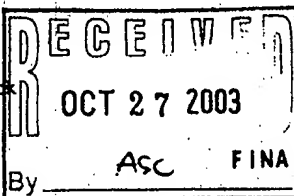


Under Secretary of Commerce for Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

## MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and  
return top portion with your check. Make check  
payable to Director of Patents & Trademarks.

ROBERT E BUSHNELL ESQ  
ROBERT E. BUSHNELL  
1522 "K" STREET, N.W.  
SUITE 300  
WASHINGTON DC 20005



Account No.	024943
Date	9-30-03
Page	1

PLEASE SEND REMITTANCES TO:  
U. S. Patent and Trademark Office  
P.O. Box 70541  
Chicago, IL 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
9	2	03	4	09953904	P56550	1202	36.00	17488.41
9	2	03	96	10650925 10/650929?	P56864	1001	10.00	17478.41
9	3	03	4	09874010				16958.41
9	4	03	3	09152798	P55315	1501	-1280.00	18238.41
9	4	03	4	09152798	P55315	1504	-300.00	18538.41
9	4	03	5	09152798	P55315	8001	-6.00	18544.41
9	5	03	1	09401335	03879-84223	1202	-720.00	19264.41
9	5	03	2	09401335	03879-84223	1201	-336.00	19600.41
9	5	03	85	09685138	P56181	9204	-1.00	19601.41
9	17	03	1	09503240	P55971	1402	320.00	19281.41
9	22	03	2	10414203	P56881RE	1205	-90.00	19371.41
9	22	03	3	10414203	P56881RE	1204	-252.00	19623.41
9	25	03	16	09580718	23407-1045	1202	-36.00	19659.41
9	30	03	1	10105295	P56633	1202	36.00	19623.41
9	30	03	2	10105295	P56633	1201	168.00	19455.41
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT				OPENING BALANCE	TOTAL CHARGES		TOTAL CREDITS	CLOSING BALANCE
				17524.41	1090.00		3021.00	19455.41

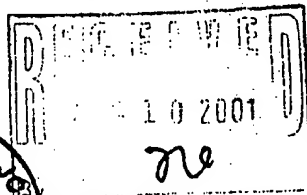


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/874,010	06/06/2001	2165	391	P56332	10	24	3

Robert E. Bushnell  
Suite 300  
1522 K Street, N. W.  
Washington, DC 20005



CONFIRMATION NO. 7488

## FILING RECEIPT



\*OC000000006402147\*

Date Mailed: 08/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Shigeharu Nakagawa, Hiroshima, JAPAN;

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 314284/2000 10/13/2000

If Required, Foreign Filing License Granted 08/08/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Apparatus and technique for on-demand printing, and on-demand printing services

## Preliminary Class

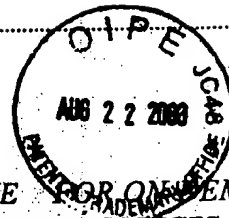
705



P56332

22 August 2003

Applicant: SHIGEHARU NAKAGAWA  
Serial No.: 09/874,010  
Filed: 6 June 2001  
For: *APPARATUS AND TECHNIQUE FOR ON-DEMAND  
PRINTING AND ON-DEMAND PRINTING SERVICES*



Documents filed:

1. Amendment in response to non-final Office action (Paper No. 11) dated 3/13/03
2. Petition for three month extension of time
3. Fee Transmittal and check no. 44671 in the amount of \$410.00



# FEE TRANSMITTAL

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/874,010
Filing Date	6 June 2001
First Named Inventor	SHIGEHARU NAKAGAWA
Examiner Name	BROWN, TIM
Group/Art Unit	3625
Attorney Docket No.	P56332

TOTAL AMOUNT OF PAYMENT (\$)410.00

METHOD OF PAYMENT (check one)

I. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 02-4943  
Deposit Account Number:

☐ Charge Any Additional Fee Required Under 37 C.F.R. §1.16 and 1.17.

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

(CHECK #44671)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	\$
1002	330	2002	165	Design filing fee	\$
1003	520	2003	260	Plant filing fee	\$
1004	750	2004	375	Reissue filing fee	\$
1005	160	2005	80	Provisional filing fee	\$

SUBTOTAL (1) (\$).00

## 2. EXTRA CLAIM FEES

Total claims	-20** =	Extra Claims	Fee from below	Fee Paid
Independent Claims	-3** =	x		=

Multiple Dependent

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity	Small Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	
1201	84	2201	42	Independent claims in excess of 3	
1202	18	2202	9	Claims in excess of 20	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)0.00

## 3. ADDITIONAL FEES

Large Entity	Small Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge-late filing fee or oath	\$
1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet	\$
1053	130	1053	130	Non-English specification	\$
1812	2,520	1812	2,520	For filing a request for reexamination	\$
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	\$
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	\$
1251	110	2251	55	Extension for reply within first month	\$
1252	410	2252	205	Extension for reply within second month	\$
1253	930	2253	465	Extension for reply within third month	\$410.00
1254	1,450	2254	725	Extension for reply within fourth month	\$
1255	1,970	2255	985	Extension for reply within fifth month	\$
1401	320	2401	160	Notice of Appeal	\$
1402	320	2402	160	Filing a brief in support of an appeal	\$
1403	280	2403	140	Request for oral hearing	\$
1451	1,510	1451	1,510	Petition to institute a public use proceeding	\$
1452	110	2452	55	Petition to revive - unavoidable	\$
1453	1,300	2453	650	Petition to revive - unintentional	\$
1501	1,300	2501	650	Utility issue fee (or reissue)	\$
1502	470	2502	235	Design issue fee	\$
1503	630	2503	315	Plant issue fee	\$
1460	130	1460	130	Petitions to the Commissioner	\$
1807	50	1807	50	Processing fee for provisional applications	\$
1806	180	1806	180	Submission of Information Disclosure Statement	\$
8021	40	8021	40	Recording each patent assignment per property (Times number of properties)	\$
1809	750	2809	375	Filing a submission after final rejection (37 C.F.R. §1.129(a))	\$
1810	750	2810	375	For each additional invention to be examined (37 C.F.R. §1.129(b))	\$
1801	750	2801	375	Request for Continued Examination (RCE)	\$

Other Fee (specify) Certificate of Correction \$100.00

Other Fee (specify) \_\_\_\_\_ \$

\*\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$410.00

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Robert E. Bushnell, Esq.	Reg. Number	27,774
Signature		Date	22 August 2003
		Deposit Account	
		User ID	

REB/wc

WARNING: Information on this form may become public. Credit card information should not be included on this form. PTO-2013

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WASHINGTON, D.C. 20036-0987

44671

ROBERT E. BUSHNELL  
LAW OFFICE  
1522 K. STREET, N.W., SUITE 600  
WASHINGTON, D.C. 20005-1202  
(202) 408-8040

15-701(254)

8/22/2003

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Washington D.C. 20231

P56332

MEMO

3xot / 09/874.010 / Nakagawa

⑈044671⑈ ⑆256070116⑆ ⑆1513 8188⑆

SECURITY FEATURES INCLUDED. DETAILS ON BACK.

ROBERT E. BUSHNELL

\* Please do not cash this check.

44671



ROBERT E. BUSHNELL

44671